



Practitioner's Docket No. 36194/42366

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tully et al.

Application No.: 10 /692,067 Group No.: Not Yet Assigned

Filed: October 22, 2003 Examiner: Not Yet Assigned

For: System And Method For The Automated Brokerage Of Financial Instruments

Mail Stop PGPUB

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

REQUEST FOR EARLY PUBLICATION OF APPLICATION

(37 C.F.R. § 1.219(a))

NOTE: "Applications that will be published under § 1.211 may be published earlier than as set forth in § 1.211(a) at the request of the applicant. Any request for early publication must be accompanied by the publication fee set forth in § 1.18(d). If the applicant does not submit a copy of the application in compliance with the Office electronic filing system requirements pursuant to § 1.215(c), the Office will publish the application as provided in § 1.215(a). No consideration will be given to requests for publication on a certain date, and such requests will be treated as a request for publication as soon as possible." 37 C.F.R. § 1.219(a).

NOTE: "At applicant's option, the patent application publication will be based upon the copy of the application (specification, drawings, and oath or declaration) as amended during examination, provided that applicant supplies such a copy in compliance with the Office electronic filing system requirements within one month of the actual filing date of the application or fourteen months of the earliest filing date for which a benefit is sought under title 35, United States Code, whichever is later."

NOTE: If early publication is requested, and the publication fee paid, applicant will not be required to pay the publication fee at allowance. See American Inventor's Protection Act of 1999, Question & Answer CK1.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Request for Early Publication of Application) [25-10]—page 1 of 2)

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1. Applicant requests that this application be published as soon as possible.

- ☐ Applicant also requests that the patent application publication be based upon the copy of the application as amended during prosecution in accordance with 37 C.F.R. § 1.215(c).

2. Applicant:

- ☐ has submitted a copy of the application in compliance with the Office electronic filing system requirements
- ☐ Amendments during examination of this application ☐ have been ☐ are herewith supplied in compliance with the Office electronic filing system requirements
- ☐ submits herewith a copy of the application in compliance with the Office electronic filing system requirements
- ☐ Amendments during examination of this application are herewith supplied in compliance with the Office electronic filing system requirements
- ☒ requests that the application be published as provided in § 1.215(a)

3. Payment of publication fee (37 C.F.R. § 1.18(d)) (\$300.00)

- ☒ Attached is a ☒ check ☐ money order in the amount of \$ 300.00
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SIGNATURE OF PRACTITIONER

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